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Trends and Challenges in Improved Agricultural Inputs Use by Smallholder Farmers in Ethiopia: A Review

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ARTICLE INFO	ABSTRACT
Review Article	Much of the sustained agricultural growth necessary for poverty reduction and sustainable development comes from adequate and expanded improved agricultural inputs use like hybrid seeds, pesticides, insecticides, herbicides, fungicides, and inorganic fertilizer. However,
Received : 03/10/2019 Accepted : 26/06/2020	seeds, pesterides, insecticides, inforcides, inforcides, and inforganic information in the sustainable use of improved agricultural inputs. This study, therefore, is to examine the trends and challenges farmers faced to use improved inputs. The study has used secondary data from various databases such as FAOSTAT, World Bank, Ethiopian Institute of Agricultural Research and agricultural statistics. Simple graphical sketch, tabular and percentage analysis was employed for interpretation of the
<i>Keywords:</i> Challenges Improved agricultural input Smallholder Trends Ethiopia	data. The study revealed that the trends in the use of various improved inputs are not based on the regular basis. The sustainable improved input intensification by smallholder farmers was influenced by various socio-economic, physical, and institutional factors. Understanding trends and challenges in input utilization provide baseline information for input intensification policies and strategies. Therefore, this review pointed out that it is possible to increase inputs intensification by smallholder farmers.
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Introduction

In September 2015, the United Nations adopted the 2030 Agenda for Sustainable Development, which includes 17 Sustainable Development Goals (SDGs) to guide policy towards sustainability. The goals are to be implemented and achieved in every country from the year 2016 to 2030. Amongst others, this study covers the aspects of end hunger; achieve food and nutrition security through sustainable agriculture. Sustainable improved input use is a core factor in achieving many of the SDGs (Delzeit et al., 2018). However, low application of improved inputs results in the low output (Ayele and Bosire, 2011). Despite the fact that poverty-reducing agricultural growth and sustainable development goals achievement in Ethiopia is expected to come largely from expanded use of improved inputs, farmers use few improved agricultural inputs (Sheahan and Barrett, 2014).

The expansion in the use of improved agricultural inputs is most important for improving agricultural productivity (Venkatesh and Nithyashree, 2014). Much of the sustained agricultural growth necessary for poverty reduction and sustainable development comes from adequate and expanded improved agricultural inputs use like hybrid seeds, pesticides, insecticides, herbicides, fungicides, and inorganic fertilizer (Ajah and Nmadu, 2012; World Bank, 2013). According to Mellor (2014), growth in production and income generated from an enhanced application of improved inputs. Therefore, tremendous increases in agricultural productivity in a relatively short period time takes place through widespread uptake of yield-enhancing improved agricultural inputs (Ayele and Bosire, 2011; Sheahan and Barrett, 2017).

In Ethiopia, the focus has been given on smallholder intensification through using improved agricultural inputs particularly seed varieties and inorganic fertilizer. Despite this, improved agricultural input use in Ethiopia is still lower than that of many other countries. For example, the average application rates of fertilizer for arable crops are estimated to 14 kilograms per hectare in Ethiopia in 2016 compared with average application rates of 30 kg ha⁻¹ year⁻¹ in Kenya in 2006 (Smaling et al., 2006) and 102 kg ha⁻¹

year⁻¹ in Asia in 1995 (Hazell, 2009). Hence, empirical evidence confirms that the trend in the use of inorganic fertilizer in Ethiopia is low and by far less than the world average of 100 kg ha⁻¹ year⁻¹ (Smaling et al., 2006). Moreover, according to Living Standard Measurement Study-Integrated Surveys on Agriculture (LSMS-ISA) data, 55.5% of cultivating households use inorganic fertilizer in Ethiopia. Agro-chemical use statistics taken from the LSMS-ISA (Ethiopia 2011/2012) household survey data indicate that agrochemicals are used by 30.5% of household cultivators (Sheahan and Barrett, 2017). The statistics relate only to chemicals applied to crops on the field, not those used in storage.

From 1995 to 2014, the average yields of maize for all African countries increased from 1.69 ton ha⁻¹ to 2.1 ton ha⁻¹, that is a 25% growth over the 20 years. Other crops have also been performing relatively well. However, the yield growth rates are far below levels in other regions. Over the same period, maize yield grew by more than 70% in Asia and 150% in South America. Hence, to accelerate agricultural productivity growth in Ethiopia, a paradigm shift in utilizing improved agricultural inputs in sustainably manner is crucial (Alia, 2017). However, recent evidence shows that Ethiopian farmers underutilize these inputs particularly on strategic staple crops such as maize and sorghum (Sheahan and Barrett, 2017). Thus, sustainable agricultural growth in Ethiopia that increase productivity depends on sustainable improved inputs intensification and utilization.

Agricultural input intensification holds the potential of transforming Ethiopian agriculture in order to propel economic growth (Chirwa and Dorward, 2013). Agricultural production and productivity growth in Ethiopia are improving but remains too slow. Thus, this study focused on sustainable use of improved inputs that will rapidly increase agricultural yield. While myriad studies look at some facet of improved input use throughout the country, no studies, to our knowledge, focus specifically on recent trends of farmer input use or on challenges farmers faced in improved inputs use. A major and fundamental gap remains to analyse trends of current input utilization at the country level. This study, therefore, aimed to fill this gap using newly available data from various sources such as FAOSTAT.

The earlier review of literatures focuses on the role of agricultural inputs in agricultural growth. It also focuses on the amount of improved inputs utilized. The results of the few studies that have been carried out in Ethiopia have been conflicting. Therefore, there is a gap in the literature as far as a study on the trends of improved agricultural inputs used since 1996 in Ethiopia. For this, the pursuit of sustainable input intensification strategies necessitates conducting this study. Thus, this study sought to fill this research gap by answering the following questions: (a) how can the trends of inputs use give direction for sustainable improved agricultural inputs intensification? (b) What are the social, economic, and institutional constraints of sustainable improved inputs intensification and utilization?

Empirical Review on Challenges Smallholder Farmers' Faced in Utilizing Inputs

The low utilization of improved agricultural inputs in Ethiopia is due to confluence constraints. These include

physical, resource, socio-economic, and institutional constraints (Diagne et al., 2013). Physical constraints relate to the physical availability of improved inputs to farmers (Chianu et al., 2012). Even when these inputs are imported, poor infrastructure pushes up transaction costs which make their distribution to production zones difficult. Economic barriers concern the affordability of inputs to resource-poor farmers. The widespread and high poverty combined with incomplete credit and an input market implies that smallholder farmers have limited resources to invest in productive inputs (Druilhe and Barreiro-Hurle, 2012). Therefore, empirically analysing and addressing these challenges holds enormous potential for boosting and sustaining yield growth of crops, food security and poverty reduction.

Limited and Late Delivery of Seed

Seed is a key input for improving crop production and productivity in Ethiopia. For instance, Li et al. (2010) found that 30% of the growth rate of agricultural production was due to new seed varieties. Despite the release of several crop varieties, there has been limited use of improved seeds by the majority of Ethiopian farmers. Only 2.9% of the farmers in Ethiopia reported using the improved seed in 2011 (CSA, 2011). Among others, the unavailability of quality seeds at the right place and time is one of the key factors accounting for limited use of improved crop seeds, which further contributing to low agricultural productivity. Delivering improved seeds available in research centers to the hands of farmers is challenging issues with potential barriers. A key factor has been inadequate improved seed varieties that satisfy the needs of farmers and markets. Another challenge is weak quality control systems of seed, leading to a proliferation of fake seed which is hurting farmers (Gerstenmier, 2015).

Limited and Untimely Supply of Fertilizers

Ethiopian smallholder farmers use lower fertilizer application rates compared with other countries due to the shortage of supply, late arrival and high price. Until 2013, urea and DAP (di-ammonium phosphate) fertilizers have been only fertilizer sources that have been in use in the country. None of these are locally produced and supplied by imports to meet the demand. Delivery systems have not performed well, which has caused delays in the procurement and distribution (Salami et al., 2010). This is exacerbated by poor port facilities and high transport costs (Gerstenmier, 2015). These affect the availability, accessibility and affordability of fertilizer use in rural areas. The rural area is underserved with the functioning of agro-dealers because they concentrate in cities and other big towns that are far away from the farmers, hence making fertilizers inaccessible to farmers (Sheahan et al., 2013).

Weak Linkages of Research-Extension-Farmers

The usefulness of the linkages of research-extensionfarmers and related information services rests on both the farmer's access to the source of the information and its quality and appropriateness. However, according to Belay and Alemu (2017), empirical studies indicated the presence of weak links between research and extension as the major factor limiting the flow of information, knowledge, use of new technologies, and resources among actors in the technology-delivery utilization system and recommend measures to overcome the widely acknowledged weaknesses. In Ethiopia the focus has been on smallholder intensification through access to improved inputs. Despite various attempts to strengthen them, the linkages between research and extension were weak, and collaboration between public and private partners limited (Salami et al., 2010).

Weak Linkages Among Agricultural Inputs Providers Ethiopia's agricultural extension services are characterized by a multiplicity of actors include public extension sector, private extension providers, nongovernmental organizations and agro-chemical companies. Linkages between these actors are weak and each actor is driven by its own motives and interest. For instance, research centres managed under the Ministry of Agriculture release different improved crop varieties which recognize different agro-ecological conditions. However, extension officers/development agents who are close to farmers and organized under Ministry of Agriculture, NGO and other private extension providers have no or limited linkage with research centres to scale up released technologies. Moreover, a review of the relevant literature shows that public extension services do not reach the bulk of the smallholder farmers due to a shortage of extension professionals, inadequate operational funds, topdown planning and implementation methods, centralized management, and weak accountability systems (Gebremedhin et al., 2012).

Poorer Physical Infrastructure

According to Rashid et al. (2013), poor infrastructures impede agricultural activities in Ethiopia. The key challenges are poor conditions of the transportation systems particularly poor design and maintenance of the road. The road system, which is the most important for market development in terms of the distribution of inputs to farmers, is the most serious infrastructural bottleneck facing agricultural development. Road conditions are poor especially in the rainy season. This makes the flow of inputs to rural areas difficult and expensive (Gerstenmier, 2015). As a result of the poor road network, smallholder farmers depend on inefficient forms of transportation including the use of animals (Salami et al., 2010). These infrastructural factors, thus, play an important role in supporting inputs supply and distribution (Gebrehiwot and van der Veen, 2014).

Limited Use of Information Communication Technologies (ICTs) Applications to Supplement Extension Services

ICTs include the use of computers, internet, geographical information systems, smart mobile phones as well as traditional media such as community radio or TV. These have the potential to increase farm productivity by enhancing ease access to agricultural inputs. However, the use of ICTs in Ethiopia is low (World Bank, 2013). Only Agricultural Transformation Agency has created in 2013 a new information hotline that gives smallholder farmers across the country access to agricultural information services through calling 8028 freely.

Less Irrigation Facilities/Rain-Fed Dependent

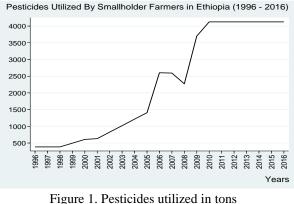
Although irrigation facilities are important to address variable and deficient rainfall, almost all of smallholder agriculture in Ethiopia is rain-fed. Research on the staple teff grain showed a three-fold yield increase when using irrigated field compared with rain-fed ones and the crop yield significantly dropped when it experienced seasonal water stress (Yihun et al., 2013). A study conducted in an agro-pastoral area of the Somali Region found that high levels of poverty are related to a lack of access to irrigation (Muktar et al., 2014).

Low Purchasing Power of the Farmers

There is a strong concern that the inputs needed to increase productivity are financially unaffordable to many poor farmers of the country (Wiggins and Brooks, 2010). For various reasons, banks or small and medium enterprises in Ethiopia are reluctant to lend to smallholder farmers. Consequently, rural households in Ethiopia are still largely reliant, for their financial needs, on informal providers. This limits sustainable input intensification for smallholder farmers (Gerstenmier, 2015).

Material and Method

The study has used secondary data from various databases. The data on the use of improved agricultural inputs such as pesticides, herbicides, insecticides, and fungicides were compiled from FAOSTAT. Moreover, data on the yield of major cereal crops and fertilizer use by nutrient (Nitrogen and Phosphate) was compiled from FAOSTAT. The information on the fertilizer application rate was collected from World Bank. In addition, data on released improved crop varieties obtained from Ethiopian Institute of Agricultural Research. Agricultural Statistics at a glance and Agricultural Growth Programme baseline survey also used as a data source. Then a synthetic review was undertaken using an in-depth review of past trends from related literature such as journal articles, books, conference proceedings, research reports, master thesis and PhD dissertations and other publications from government and non-government organizations. The arguments of different researchers were taken and the authors support accordingly and made an interpretation. Simple graphical sketch, tabular and percentage analysis was employed for interpretation of the data.



(Source. FAOSTAT, 2019)

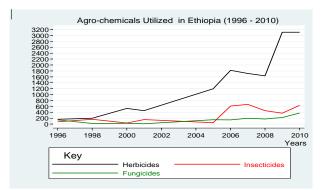


Figure 2. Type and amount of agro-chemicals utilized by smallholder farmers (Source: FAOSTAT, 2019)

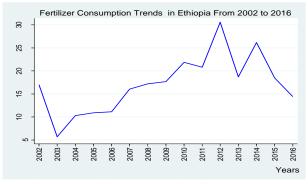
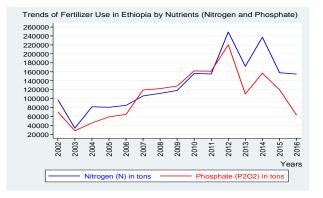


Figure 3. Fertilizer consumption from 2002 to 2016 (kilograms per hectare of arable land)



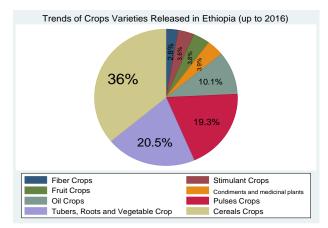


Figure 5. Trends in crops varieties released in Ethiopia (Source: MoA, 2013; MoANR, 2016)

MoANR = Ministry of Agriculture and Natural Resources

MoA = Ministry of Agriculture

Result and Discussion

Trends in the Utilization of Improved Agricultural Inputs in Ethiopia

Trends in the Use of Pesticides

As Figure 1 indicates, pesticides utilization of smallholder farmers for the agricultural purpose increased by two times from 300 tons in 1996 to 600 tons in 2001. In 2006, the consumption increased to 2600 tonnes which means four times that of 2001. Although the trend of utilizing pesticides in Ethiopia increases from 300 tons in 1996 to 4000 tons in 2010, the increments became constant for about seven years from 2010 to 2016 (FAOSTAT, 2019).

Trends in Use of Agrochemicals: Herbicides, Insecticides and Fungicides

As figure 2 showed, from 1996 to 2010 about 3000 tons of various types of herbicides were utilized by smallholder farmers in Ethiopia. These include phenoxy hormone products, triazines, amides, dinitroanilines, glyphosate, 2-4-D, and other herbicides. For instance, 2-4-D is the most important herbicide imported into the country as well as the most widely used herbicide since it has a lower price relative to other types of herbicides. Since 2-4-D kills only broadleaf weeds, weeding is required after the use of 2-4-D. Therefore, other wider-ranging herbicides are increasingly being used (Tamru et al., 2017).

Moreover, about 600 tons of various insecticides were utilized in Ethiopia from 1996 to 2010. The types of insecticides applied were chlorinated hydrocarbons, organophosphates, carbamates insecticides, pyrethroids, and other insecticides. Furthermore, 400 tons of various types of fungicides such as inorganics, dithiocarbonates, benzimidazoles, triazoles, diazoles, and other fungicides were utilized from 1996 to 2010.

Trends in Chemical Fertilizer Utilization

Chemical fertilizer was first introduced to Ethiopia under the Freedom from Hunger Program of the FAO in the late 1960s. The total imports of fertilizer increased to 890,000 tons in 2012 (Rashid et al., 2013). Despite imports of fertilizer to Ethiopia increased from the 1960s to 2012, utilization of inorganic fertilizer (primarily DAP and urea) is low in the country, particularly at smallholder farmers level (IFPRI, 2013). Fertilizer consumption (kilograms per hectare of arable land) was 14.4 kg ha⁻¹ in 2016 which is below those recommended by the extension programs as well as less than the world average of 100 kilograms per hectare per year. Figure 3 indicates trends of fertilizer consumption (kilograms per hectare of arable land) increases from about five kilograms per hectare per year in 2003 to about 30 kilograms per hectare per year in 2012. However, the increment was not based on the regular basis.

As Figure 4 shows fertilizer use trends in Ethiopia from 2002 to 2016 aggregated by nitrogen and phosphate nutrients in tons. The trends in utilizing nitrogen fertilizer nutrients increased from 97,000 tons in 2002 to 248,000 tons in 2012 then decreased to 150,000 tons in 2016. Regarding trends of using phosphate fertilizer nutrient, it increased from 70,000 tons in 2002 to 220,000 tons in 2012 and again decreased to 60,000 tons in 2016. Other fertilizer nutrients like nutrient potash (K_2O) was rarely utilized in Ethiopia. For instance, only about 4000 tons of potash nutrients utilized by Ethiopian farmers from 2012 to 2016 2289

(180, 250, 1400, 2050, and 330 tons in 2012, 2013, 2014, 2015, and 2016 respectively (FAOSTAT, 2019).

Trends in Use of Improved Seeds

A seed is the basic input in agriculture and determines the use of other inputs (Venkatesh and Pal, 2014). However, the average yield of staple food crops in Ethiopia is still low (FAOSTAT, 2013). The low yield is mainly attributed to the low use of improved inputs. Evidence shows that only 7.3% of the area under cereals was planted with improved varieties in 2010/2011 (CSA, 2011). While maize is far better than the other crops in terms of percentage of area under improved varieties (28%), the adoption rate is still low as compared to several countries in eastern and southern Africa such as Zimbabwe (80%), Zambia (75%), Kenya (72%), and 35% in Uganda (Bernard et al., 2013). In 2011/2012, seed supply covered only 51% of stated demand for barley, 24% for wheat, 16% for rice, 30% for millet, and 60% for faba bean (MoA, 2013).

As Figure 5 shows, in Ethiopia, more than 1000 improved crop varieties were released by the national agricultural system for use together with their agronomic packages (MoANR, 2016). About 63 were released recently (i.e., in 2016). Up to 2016, among the grain crops released, cereals account for 387 (36%), followed by tubers, roots and vegetable crops 221 (20.5%); pulses crops 207 (19.3%); oil crops 108 (10.1%); medicinal plants 42 (3.9%); fruits 41 (3.8%); stimulant crops 39 (3.6%) and fiber crops 30 (2.8%) (MoANR, 2016). Figure 5 depicts trends in the type of crops and released varieties in Ethiopia up to 2016.

Trends in the Production of Major Cereals Using Improved Inputs

Improved agricultural inputs potentially help farmers to boost their productivity. Recent literature identifies a strong relationship between the use of improved agricultural inputs and crop yields and economic growth (McArthur and McCord, 2017). Table 1 provides information on yield per hectare of major crops in Ethiopia since 1996. Yield per hectare of all crops has increased by more than two times from 1996-2017. Maize has been recorded the most significant increase since 1996 with its yield increasing from 1683 kg per hectare in 1996 to 3734 kg per hectare in 2017. The productivity of wheat has also increased from 1211 kg per hectare in 1996 to 2813 kg per hectare in 2017. Sorghum and barley recorded much slower rate of growth in productivity compared to maize and wheat.

In terms of the type of crops, Table 2 indicates that the productivity of oil crops has increased by three times from 367 kg per hectare in 1996 to 1075 kg per hectare in 2017. The productivity of cereal, pulses and spices crops also increased by more than two times from 1996-2017. The productivity of vegetables has been increased substantially. Productivity in fiber, fruits, roots, and tubers has shown much disappointing trend.

Sources of Improved Agricultural Inputs in Ethiopia

The majority of pesticides available in Ethiopia are imported mainly from China and India and distributed by the private sector. The importers typically sell their products to government enterprises and private wholesalers in major cities such as Addis Ababa, Adama, Bahir Dar and Mekelle. The latter then distribute to private retailers that sell the products to farmers in rural areas. Table 3 shows that most of the chemical fertilizer and improved seeds distribution is assured by the government through its cooperative networks. The distribution of agrochemicals, in contrast, is mostly in the hands of private distributors. The private sector plays an even larger role in the distribution of herbicides–close to 70 percent of farmers obtained the herbicides through a private channel.

Year	Name of crops					
1 eai	Barley	Maize	Sorghum	Wheat		
1996	1061	1683	1357	1211		
1997	1062	1738	1414	1292		
1998	1095	1617	1103	1374		
1999	920	1715	1248	1115		
2000	913	1620	1175	1163		
2001	1084	1743	1139	1326		
2002	1441	1875	1365	1435		
2003	1011	1532	1336	1387		
2004	1096	1613	1357	1469		
2005	1157	2006	1369	1557		
2006	1413	2640	1481	1520		
2007	1247	1969	1582	1671		
2008	1373	2137	1734	1624		
2009	1550	2199	1836	1827		
2010	1628	2540	2087	1838		
2011	1672	2954	2054	2029		
2012	1749	3059	2106	2110		
2013	1872	3254	2282	2445		
2014	1965	3421	2365	2543		
2015	2167	3733	2569	2794		
2016	2111	3674	2525	2675		
2017	2127	3734	2617	2813		

Table 1. Yield per hectare of major crops (kilogram per hectare). Source: FAOSTAT (2019)

Year		Type of Crops							
I Cal	Cereals crops	Oil Crops	Pulses Crops	Spices Crops	Roots and Tubers	Fiber Crops	Fruit Crops	Vegetables	
1996	829	367	632	472	7586	77.6	28170	7267	
1997	910	377	550	493	7674	77.7	28333	7266	
1998	735	342	478	454	7801	77.8	27986	7268	
1999	773	382	566	389	7812	77.9	28214	7277	
2000	802	398	664	478	7873	78.3	28096	7273	
2001	789	354	592	667	7817	77.8	28158	7291	
2002	882	363	588	666	7866	80.0	28154	7298	
2003	700	342	587	687	7881	77.4	28185	7284	
2004	722	422	512	727	7843	76.9	28287	7314	
2005	973	522	769	709	7883	76.2	27850	7295	
2006	1034	479	889	632	7867	75.4	27044	7339	
2007	986	538	975	787	7683	74.5	26323	7282	
2008	857	560	709	766	7402	73.6	25427	7503	
2009	1228	615	1268	1280	7219	72.7	24916	8323	
2010	1261	585	1058	1220	7015	71.6	24662	8743	
2011	1281	603	1069	1158	7167	70.5	24399	9201	
2012	1379	700	1086	891	7273	67.3	24533	10178	
2013	1465	703	1094	963	7324	64.6	24555	10067	
2014	1575	889	1123	1032	7229	66.2	24261	10462	
2015	1720	1005	1106	1040	6191	67.0	24000	9969	
2016	1663	1075	1255	1062	5449	67.2	23920	10040	
2017	1666	1075	1231	1084	4797	66.6	23898	10092	

Table 2. Yield per hectare by crops type (kilogram per hectare). Source: FAOSTAT (2019)

Table 3. Source of agro-chemicals purchased by smallholder farmers

Sources	Herbicides	Insecticide	Fungicides	Fertilizers		- Improved seed
Sources				DAP	Urea	mproved seed
Government	27.0	31.4	43.3	83.3	84.8	87.6
Private	67.7	64.0	51.1	13.9	11.9	6.2
Other farmers	3.8	2.7	2.7	1.1	1.0	2.3
Development organizations	0.3	0.7	1.6	1.4	1.9	2.4
Others	1.1	1.2	1.4	0.3	0.4	1.4
Total	100.0	100.0	100.0	100.0	100.0	100.0

Source: Adapted from 2011 Agricultural Growth Program (AGP) Baseline Survey dataset cited in Tamru et al. (2017)

Conclusion

The major reasons behind the poor performance of the Ethiopian agriculture and the existing structural food insecurity and poverty in the country can be summarized to limited, irregular and unsustainable use of improved agricultural inputs. The poor input supply and distribution system as well as the limited capacity of agricultural extension workers also other challenges for agricultural growth of the country. Although there are numerous agricultural inputs disseminated from various sources to farmers, there is limited success in terms of the number of farmers reached due to poor infrastructure, weak research-extension-farmers linkage, limited and untimely supply of fertilizers and limited use of ICT applications.

Delivery services of improved agricultural inputs to smallholder farmers were influenced by numerous factors in Ethiopia. Thus, GOs, NGOs, private sectors and other institutions should work on enhancing the supply and distribution of inputs at the right time and place.

The linkage between research and extension organizations is weak. Research outputs do not reach farmers and remain shelved in research centres and higher institutions. Thus, strong integration between agricultural research, universities and extension workers are essential for research results dissemination and so that concerned bodies should work for the interlinked and interdependence of these actors. All-weather road plays a great role for input delivery and utilization. This would also provide an excellent network among the farmers. Thus, it is recommended that the concerned bodies should improve basic infrastructural facilities for rural farmers.

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